

Attorney Docket No.: J3509(C)
Serial No.: 09/764,734
Filed: January 17, 2001
Confirmation No.: 6621

PETITION FOR FILING A CORRECTED DECLARATION
BY OTHER THAN ALL THE INVENTORS

Sir:

Ian Robert McMillan, Andrew Sjaak Landa, Stephen Anthony Makin and Paula Ann Johnson are the inventors of the record in the subject application. It has been determined that Harry Saul Winchell (deceased) should be included as a co-inventor. This Petition is being filed together with a request to correct inventorship to add Harry Saul Winchell as a co-inventor. Three of the four inventors originally named in the subject application, i.e., Ian Robert McMillan, Andrew Sjaak Landa, and Stephen Anthony Makin, have executed a supplemental declaration that adds Harry Saul Winchell as a co-inventor; the supplemental declaration has also been executed on behalf of Harry Saul Winchell by his co-executrixes Bryna Winchell Ross and Bari Winchell. As detailed in the accompanying Statement, after diligent effort, Unilever has either been unable to reach Paula Ann Johnson and/or Paula Ann Johnson is refusing to sign a supplemental declaration for the subject application.

Ian Robert McMillan, Andrew Sjaak Landa, Stephen Anthony Makin, and Harry Saul Winchell (by his co-executrixes Bryna Winchell Ross and Bari Winchell) request, through the attorney of record, Karen Klumas, that a corrected declaration adding Harry Saul Winchell as a co-inventor be entered, and that the above application be made by such inventors on behalf of themselves and Paula Ann Johnson, the non-signing inventor.

To avoid irreparable damage by incorrect naming of the inventors, the grant of this petition for filing by other than all the inventors is respectfully requested.

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As described in the accompanying Statement, a corrected declaration for the subject application, complying with the requirements of 37 C.F.R. § 1.63 was sent to Paula Ann Johnson at her last known address:

15 Hornby Avenue,
Bromborough, Wirral, United Kingdom, CH662 ATQ

Other attempts to contact Ms. Johnson are described in the accompanying Statement and supporting evidence.

The accompanying transmittal authorizes the charging of the fee due under 37 C.F.R. 1.17(g) for the filing of this petition to Deposit Account 12-1155.

Respectfully submitted,

/Karen E. Klumas/

Karen E. Klumas
Registration No. 31,070
Attorney for Applicant(s)

KEK/sa
(201) 894-2332

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**STATEMENT OF FACTS IN SUPPORT OF THE FILING OF A SUPPLEMENTAL
DECLARATION ON BEHALF OF A NONSIGNING INVENTOR**

Sir:

The following is a summary of the facts in support of this filing and attached evidence in support thereof:

1. The subject application (along with US Serial No. 11/103,284, US Serial No. 10/895,179; US Serial No. 10/198,435; US Pat. No. 6,793,914; US 6,893,630; and US Pat. No. 6,503,490) were the subject of an arbitration proceeding at the London Court of International Arbitration (Unilever U.K. Central Resources, Ltd. et al. v. IRC, Israel Resources Corporation et al.). Entitlement to such applications/patents were among the issues that were the subject of the arbitration. As a result of the arbitration, it was determined that Harry Saul Winchell (deceased) was a co-inventor of the referenced patents and applications and should be named as such. Unilever has acquired the entirety of the interest arising out of Harry Saul Winchell's co-invention of such applications and patents and is seeking to have Harry Saul Winchell named as a co-inventor in same.
2. Paula Ann Johnson, Ian Robert McMillan, Andrew Sjaak Landa, and Stephen Anthony Makin, all whom are citizens of the United Kingdom, were the inventors originally named in the subject application. A Supplemental Declaration that adds Harry Saul Winchell as a co-inventor has been executed by Ian Robert McMillan, Andrew Sjaak Landa, Stephen Anthony Makin and, on behalf of the deceased Harry Saul Winchell, by his co-executrixes Bryna Winchell Ross and Bari Winchell. (copies attached as Appendix A). After diligent effort, Unilever has not been able to obtain execution of the Supplemental Declaration by Paula Ann Johnson (who is also referred to in this Statement as Paula Johnson or Paula), who is no longer employed by Unilever.

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3. In connection with Unilever's efforts to obtain execution of the Supplemental Declaration by Paula Ann Johnson, the undersigned requested her contact information from Dr. Christopher Whaley, the Registered European Patent Agent who prepared the UK application from which the instant application claims priority, and a member of the Unilever Patent Department in the United Kingdom.

4. The undersigned was advised by Dr. Whaley that the address that the Unilever Patent Group had on file for Paula Ann Johnson was the following: 15 Hornby Avenue, Bromborough, Wirral, United Kingdom, CH662 ATQ. Dr. Whaley also advised that in his efforts to confirm same, he came to understand that Paula Ann Johnson had moved and that this was no longer her address. The undersigned understood that Dr. Whaley based this information on conversations and/or correspondence with other Unilever employees, including Unilever's Susan Pye. See copies of the e-mail correspondence between Dr. Whaley and Susan Pye attached as Appendix B.

5. On September 30, 2009, a new address for Paula Ann Johnson failing to have been confirmed, a Supplemental Declaration for the subject application complying with the requirements of 37.C.F.R §§1.63 and 1.67, and including Harry Saul Winchell as a co-inventor, was sent to Paula Ann Johnson at the 15 Hornby Street address referenced above (her last known address) via International Registered Mail. Among the documents accompanying the Supplemental Declaration was a letter of explanation including the request that Paula sign and return the Supplemental Declaration to the undersigned. Copies of the letter, the mailing receipt and tracking receipt for same, and the Supplemental Declaration are provided as Appendix C.

6. Efforts to confirm Paula Johnson's address continued. Jayne Sloane, secretary to Dr. Whaley, located one Rachael Collins, a Unilever employee in the

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United Kingdom who is believed to be a relation of Paula Johnson's. The undersigned was advised by Ms. Sloane that Rachel Collins confirmed that Paula Johnson has moved from the 15 Hornby Street address, but that she (Ms. Sloane) was not been able to obtain contact information for Paula Johnson from Ms. Collins. See copies of Jayne Sloane's e-mail correspondence with Rachel Collins in November and December 1999 attached as Appendix D.

7. On or about December 7, 2009, the undersigned e-mailed Rachael Collins a Supplemental Declaration as described in Paragraph 2, together with a letter explaining the paperwork and requesting that Paula contact the undersigned or the undersigned's secretary Sally Aldahondo so that the Supplemental Declaration could be updated with Paula's current address or post office information and returned to her for signature. Also provided for Paula's signature was a Statement under 37 C.F.R. § 1.324(b)(2) in connection with the addition of Harry Saul Winchell as a co-inventor of US Pat. No. 6,503,490. The undersigned requested that Ms. Collins forward the e-mail and attachments to Paula. In an e-mail reply, Ms. Collins indicated that she would do so. See copies of the undersigned's e-mail correspondence with Rachel Collins in December 1999 (Appendix E) In addition to the referenced Supplemental Declaration and Statement, the December 7, 2009 e-mail from the undersigned included a copy of the subject application (including amendments filed in same) as well as a copy of US Patent. No. 6,503,490; copies of these attachments have not been included with this Statement.

8. In January, 2010, the undersigned was advised by Jayne Sloane that a signed Statement as described in Paragraph 7 had been returned to her, without a cover, in an envelope that did not have a return address. A copy of the signed Statement is provided in Appendix F. Notably, unlike the Supplemental Declaration, the Statement does not provide address or contact information for the person signing same.

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9. The efforts detailed above have not resulted in the undersigned either being contacted by Paula Johnson or receiving a Supplemental Declaration for the subject application that has been signed by Paula Johnson.

10. It is respectfully submitted that the facts set forth above and evidence provided in the attached Appendices demonstrate that after diligent effort, Unilever has either been unable to contact Paula Johnson and/or that Paula Johnson is refusing to sign a Supplemental Declaration for the subject application.

11. E-mail copies provided in the attached Appendices were printed from messages and/or attachments sent or forwarded by or to the undersigned and to the best of the undersigned's knowledge and belief are true copies thereof. The date in the lower left corner of such documents is the printing date thereof.

Respectfully submitted,

/Karen E. Klumas/

Karen E. Klumas
Registration No. 31,070
Attorney for Applicant(s)

KEK/sa
(201) 894-2332

APPENDIX A

COMBINED DECLARATION FOR PATENT APPLICATION AND POWER OF ATTORNEY (Includes Reference to PCT International Applications) (SUPPLEMENTAL)	Attorney Docket No. J3509(C)
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As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

ANTIMICROBIAL COMPOSITIONS

the specification of which (check only one item below):

☐ is attached hereto.

☒ was filed as United States application Serial No. 09/764,734 on January 17, 2001 and was amended on January 17, 2001, October 31, 2001, January 8, 2003, January 22, 2004, April 19, 2006, November 13, 2006, October 31, 2007, July 28, 2008, and June 18, 2009 (if applicable)

☐ was filed as PCT international application _____ on _____ and was amended under PCT Article 19 on _____ (if applicable)

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the patentability of this application in accordance with Title 37, Code of Federal Regulations, §1.56.

I hereby claim foreign priority benefits under Title 35, United States Code, §119 of any foreign application(s) for patent or inventor's certificate or of any PCT international application(s) designating at least one country other than the United States of America listed below and have also identified below any foreign application(s) for patent or inventor's certificate or any PCT international application(s) designating at least one country other than the United States of America filed by me on the same subject matter having a filing date before that of the application(s) of which priority is claimed:

PRIOR FOREIGN/PCT APPLICATION(S) AND ANY PRIORITY CLAIMS UNDER 35 U.S.C. 119:

COUNTRY (if PCT, indicate "PCT")	APPLICATION NUMBER	DATE OF FILING (day, month, year)	PRIORITY CLAIMED UNDER 35 U.S.C. 119
United Kingdom	0001133.8	18 th January 2000	YES
United Kingdom	0001132.0	18 th January 2000	YES

I hereby claim the benefit under Title 35, United States Code §119(e) of any of any United States provisional application(s) listed below:

PRIOR U.S. PROVISIONAL APPLICATION(S) FOR BENEFIT UNDER 35 U.S.C. 119(e)

APPLICATION NUMBER	DATE OF FILING (day, month, year)

I hereby claim the benefit under Title 35, United States Code §120 of any United States application(s) or PCT international application(s) designating the United States of America that is/are listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in that/those prior application(s) in the manner provided by the first paragraph of Title 35, United States Code §112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations §1.56 which occurred between the filing date of the prior application(s) and the national or PCT international filing date of this application.

PRIOR U.S. APPLICATIONS OR PCT INTERNATIONAL APPLICATIONS DESIGNATING THE U.S. FOR BENEFIT UNDER 35 U.S.C. 120.

U.S. APPLICATIONS		STATUS (CHECK ONE)		
U.S. APPLICATION NUMBER	U.S. FILING DATE	PATENTED	PENDING	ABANDONED

PCT APPLICATIONS DESIGNATING THE U.S.

PCT APPLICATION NO.	PCT FILING DATE	U.S. SERIAL NUMBERS ASSIGNED (if any)		

COMBINED DECLARATION FOR PATENT APPLICATION AND POWER OF ATTORNEY (Includes Reference to PCT International Applications)	Attorney Docket No. J3509(C)
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POWER OF ATTORNEY: As a named inventor, I hereby appoint the following attorney(s) and/or agents(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith:

CUSTOMER NUMBER: 000201

Direct all correspondence to : CUSTOMER NUMBER 000201

201

FULL NAME OF INVENTOR	FAMILY NAME JOHNSON	FIRST GIVEN NAME Paula	SECOND GIVEN NAME Ann
RESIDENCE AND CITIZENSHIP	CITY Wirral	STATE OR FOREIGN COUNTRY United Kingdom	COUNTRY OF CITIZENSHIP United Kingdom
POST OFFICE ADDRESS	POST OFFICE ADDRESS 15 Homby Avenue, Bromborough	CITY Wirral	STATE & ZIP CODE/COUNTRY United Kingdom CH62 ATQ

202

FULL NAME OF INVENTOR	FAMILY NAME LANDA	FIRST GIVEN NAME Andrew	SECOND GIVEN NAME Sjaak
RESIDENCE & CITIZENSHIP	CITY Appleton	STATE OR FOREIGN COUNTRY Wisconsin	COUNTRY OF CITIZENSHIP Netherlands/USA
POST OFFICE ADDRESS	POST OFFICE ADDRESS 3300 Solitude Lane	CITY Appleton	STATE & ZIP CODE/COUNTRY Wisconsin 54915

203

FULL NAME OF INVENTOR	FAMILY NAME MAKIN	FIRST GIVEN NAME Stephen	SECOND GIVEN NAME Anthony
RESIDENCE & CITIZENSHIP	CITY Lightwater, Surrey	STATE OR FOREIGN COUNTRY United Kingdom	COUNTRY OF CITIZENSHIP United Kingdom
POST OFFICE ADDRESS	POST OFFICE ADDRESS 37 Mount Pleasant Close	CITY Lightwater, Surrey GU18 5TR	STATE & ZIP CODE/COUNTRY United Kingdom

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

SIGNATURE OF INVENTOR 201	SIGNATURE OF INVENTOR 202 <i>Andrew Sjaak Landa</i>	SIGNATURE OF INVENTOR 203
DATE	DATE <i>October 2, 2009</i>	DATE

COMBINED DECLARATION FOR PATENT APPLICATION AND POWER OF ATTORNEY (Includes Reference to PCT International Applications) (SUPPLEMENTAL)	Attorney Docket No. J3509(C)
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My residence, post office address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

ANTIMICROBIAL COMPOSITIONS

the specification of which (check only one item below):

☐ is attached hereto.

☒ was filed as United States application Serial No. 09/764,734 on January 17, 2001 and was amended on January 17, 2001, October 31, 2001, January 8, 2003, January 22, 2004, April 19, 2006, November 13, 2006, October 31, 2007, July 28, 2008, and June 18, 2009 (if applicable)

☐ was filed as PCT international application _____ on _____ and was amended under PCT Article 19 on _____ (if applicable)

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the patentability of this application in accordance with Title 37, Code of Federal Regulations, §1.56.

I hereby claim foreign priority benefits under Title 35, United States Code, §119 of any foreign application(s) for patent or inventor's certificate or of any PCT international application(s) designating at least one country other than the United States of America listed below and have also identified below any foreign application(s) for patent or inventor's certificate or any PCT international application(s) designating at least one country other than the United States of America filed by me on the same subject matter having a filing date before that of the application(s) of which priority is claimed:

PRIOR FOREIGN/PCT APPLICATION(S) AND ANY PRIORITY CLAIMS UNDER 35 U.S.C. 119:

COUNTRY (if PCT, indicate "PCT")	APPLICATION NUMBER	DATE OF FILING (day, month, year)	PRIORITY CLAIMED UNDER 35 U.S.C. 119
United Kingdom	0001133.8	18 th January 2000	YES
United Kingdom	0001132.0	18 th January 2000	YES

I hereby claim the benefit under Title 35, United States Code §119(e) of any of any United States provisional application(s) listed below:

PRIOR U.S. PROVISIONAL APPLICATION(S) FOR BENEFIT UNDER 35 U.S.C. 119(e)

APPLICATION NUMBER	DATE OF FILING (day, month, year)

I hereby claim the benefit under Title 35, United States Code §120 of any United States application(s) or PCT international application(s) designating the United States of America that is/are listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in that/those prior application(s) in the manner provided by the first paragraph of Title 35, United States Code §112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations §1.56 which occurred between the filing date of the prior application(s) and the national or PCT international filing date of this application.

PRIOR U.S. APPLICATIONS OR PCT INTERNATIONAL APPLICATIONS DESIGNATING THE U.S. FOR BENEFIT UNDER 35 U.S.C. 120.

U.S. APPLICATIONS		STATUS (CHECK ONE)		
U.S. APPLICATION NUMBER	U.S. FILING DATE	PATENTED	PENDING	ABANDONED

PCT APPLICATIONS DESIGNATING THE U.S.

PCT APPLICATION NO.	PCT FILING DATE	U.S. SERIAL NUMBERS ASSIGNED (if any)		

POWER OF ATTORNEY: As a named inventor, I hereby appoint the following attorney(s) and/or agents(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith:

CUSTOMER NUMBER: 000201

Direct all correspondence to : CUSTOMER NUMBER 000201

201

FULL NAME OF INVENTOR	FAMILY NAME JOHNSON	FIRST GIVEN NAME Paula	SECOND GIVEN NAME Ann
RESIDENCE AND CITIZENSHIP	CITY Wirral	STATE OR FOREIGN COUNTRY United Kingdom	COUNTRY OF CITIZENSHIP United Kingdom
POST OFFICE ADDRESS	POST OFFICE ADDRESS 15 Homby Avenue, Bromborough	CITY Wirral	STATE & ZIP CODE/COUNTRY United Kingdom CH62 ATQ

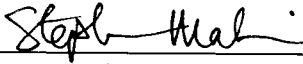
202

FULL NAME OF INVENTOR	FAMILY NAME LANDA	FIRST GIVEN NAME Andrew	SECOND GIVEN NAME Sjaak
RESIDENCE & CITIZENSHIP	CITY Appleton	STATE OR FOREIGN COUNTRY Wisconsin	COUNTRY OF CITIZENSHIP Netherlands/USA
POST OFFICE ADDRESS	POST OFFICE ADDRESS 33C0 Solitude Lane	CITY Appleton	STATE & ZIP CODE/COUNTRY Wisconsin 54915

203

FULL NAME OF INVENTOR	FAMILY NAME MAKIN	FIRST GIVEN NAME Stephen	SECOND GIVEN NAME Anthony
RESIDENCE & CITIZENSHIP	CITY Lightwater, Surrey	STATE OR FOREIGN COUNTRY United Kingdom	COUNTRY OF CITIZENSHIP United Kingdom
POST OFFICE ADDRESS	POST OFFICE ADDRESS 37 Mount Pleasant Close	CITY Lightwater, Surrey GU18 5TR	STATE & ZIP CODE/COUNTRY United Kingdom

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

SIGNATURE OF INVENTOR 201	SIGNATURE OF INVENTOR 202	SIGNATURE OF INVENTOR 203 
DATE	DATE	DATE 05 th October '09

COMBINED DECLARATION FOR PATENT APPLICATION AND POWER OF ATTORNEY (Includes Reference to PCT International Applications) (SUPPLEMENTAL)	Attorney Docket No. J3509(C)
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I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

ANTIMICROBIAL COMPOSITIONS

the specification of which (check only one item below):

☐ is attached hereto.

☒ was filed as United States application Serial No. 09/764,734 on January 17, 2001 and was amended on January 17, 2001, October 31, 2001, January 8, 2003, January 22, 2004, April 19, 2006, November 13, 2006, October 31, 2007, July 28, 2008, and June 18, 2009 (if applicable)

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PRIOR FOREIGN/PCT APPLICATION(S) AND ANY PRIORITY CLAIMS UNDER 35 U.S.C. 119:

COUNTRY (if PCT, indicate "PCT")	APPLICATION NUMBER	DATE OF FILING (day, month, year)	PRIORITY CLAIMED UNDER 35 U.S.C. 119
United Kingdom	0001133.8	18 th January 2000	YES
United Kingdom	0001132.0	18 th January 2000	YES

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PRIOR U.S. PROVISIONAL APPLICATION(S) FOR BENEFIT UNDER 35 U.S.C. 119(e)	
APPLICATION NUMBER	DATE OF FILING (day, month, year)

I hereby claim the benefit under Title 35, United States Code §120 of any United States application(s) or PCT international application(s) designating the United States of America that is/are listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in that/those prior application(s) in the manner provided by the first paragraph of Title 35, United States Code §112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations §1.56 which occurred between the filing date of the prior application(s) and the national or PCT international filing date of this application.

PRIOR U.S. APPLICATIONS OR PCT INTERNATIONAL APPLICATIONS DESIGNATING THE U.S. FOR BENEFIT UNDER 35 U.S.C. 120.

U.S. APPLICATIONS		STATUS (CHECK ONE)		
U.S. APPLICATION NUMBER	U.S. FILING DATE	PATENTED	PENDING	ABANDONED

PCT APPLICATIONS DESIGNATING THE U.S.				
PCT APPLICATION NO.	PCT FILING DATE	U.S. SERIAL NUMBERS ASSIGNED (if any)		

POWER OF ATTORNEY: As a named inventor, I hereby appoint the following attorney(s) and/or agents(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith:

CUSTOMER NUMBER: 000201

Direct all correspondence to : CUSTOMER NUMBER 000201

201

FULL NAME OF INVENTOR	FAMILY NAME JOHNSON	FIRST GIVEN NAME Paula	SECOND GIVEN NAME Ann
RESIDENCE AND CITIZENSHIP	CITY Wirral	STATE OR FOREIGN COUNTRY United Kingdom	COUNTRY OF CITIZENSHIP United Kingdom
POST OFFICE ADDRESS	PCST OFFICE ADDRESS 15 Hornby Avenue, Bromborough	CITY Wirral	STATE & ZIP CODE/COUNTRY United Kingdom CH62 ATQ

202

FULL NAME OF INVENTOR	FAMILY NAME LANDA	FIRST GIVEN NAME Andrew	SECOND GIVEN NAME Sjaak
RESIDENCE & CITIZENSHIP	CITY Appleton	STATE OR FOREIGN COUNTRY Wisconsin	COUNTRY OF CITIZENSHIP Netherlands/USA
POST OFFICE ADDRESS	POST OFFICE ADDRESS 3300 Solitude Lane	CITY Appleton	STATE & ZIP CODE/COUNTRY Wisconsin 54915

203

FULL NAME OF INVENTOR	FAMILY NAME MAKIN	FIRST GIVEN NAME Stephen	SECOND GIVEN NAME Anthony
RESIDENCE & CITIZENSHIP	CITY Lightwater, Surrey	STATE OR FOREIGN COUNTRY United Kingdom	COUNTRY OF CITIZENSHIP United Kingdom
POST OFFICE ADDRESS	POST OFFICE ADDRESS 37 Mount Pleasant Close	CITY Lightwater, Surrey GU18 5TR	STATE & ZIP CODE/COUNTRY United Kingdom

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

SIGNATURE OF INVENTOR	201	SIGNATURE OF INVENTOR	202	SIGNATURE OF INVENTOR	203
DATE		DATE		DATE	

POWER OF ATTORNEY: As a named inventor, I hereby appoint the following attorney(s) and/or agents(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith:

CUSTOMER NUMBER: 000201

Direct all correspondence to : CUSTOMER NUMBER 000201

204

FULL NAME OF INVENTOR	FAMILY NAME MCMILLAN	FIRST GIVEN NAME Ian	SECOND GIVEN NAME Robert
RESIDENCE AND CITIZENSHIP	CITY Wirral, Merseyside	STATE OR FOREIGN COUNTRY United Kingdom	COUNTRY OF CITIZENSHIP United Kingdom
POST OFFICE ADDRESS	POST OFFICE ADDRESS c/o Unilever Research Port Sunlight, Quarry Road East, Bebington	CITY Wirral, Merseyside, CH63 3JW	STATE & ZIP CODE/COUNTRY United Kingdom

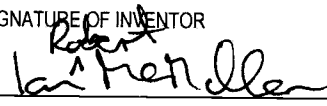
205

FULL NAME OF INVENTOR	FAMILY NAME WINCHELL	FIRST GIVEN NAME Harry	SECOND GIVEN NAME SAUL
RESIDENCE & CITIZENSHIP	CITY Walnut Creek	STATE OR FOREIGN COUNTRY California	COUNTRY OF CITIZENSHIP United States
POST OFFICE ADDRESS	POST OFFICE ADDRESS 1935 Lakeshire Drive	CITY Walnut Creek	STATE & ZIP CODE/COUNTRY California 94595

FULL NAME OF Legal Representative (co-executrix) of Harry Saul Winchell (Deceased)	FAMILY NAME WINCHELL ROSS	FIRST GIVEN NAME Bryna	SECOND GIVEN NAME
RESIDENCE & CITIZENSHIP	CITY Lafayette	STATE OR FOREIGN COUNTRY California	COUNTRY OF CITIZENSHIP United States
POST OFFICE ADDRESS	POST OFFICE ADDRESS 1 Via Oneg	CITY Lafayette	STATE & ZIP CODE/COUNTRY California 94549

FULL NAME OF Legal Representative (co-executrix) of Harry Saul Winchell (Deceased)	FAMILY NAME WINCHELL	FIRST GIVEN NAME Bari	SECOND GIVEN NAME
RESIDENCE & CITIZENSHIP	CITY Walnut Creek	STATE OR FOREIGN COUNTRY California	COUNTRY OF CITIZENSHIP United States
POST OFFICE ADDRESS	POST OFFICE ADDRESS 1935 Lakeshire Drive	CITY Walnut Creek	STATE & ZIP CODE/COUNTRY California 94595

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

SIGNATURE OF INVENTOR  204	SIGNATURE OF INVENTOR 205 SEE BELOW	SIGNATURE OF INVENTOR 206
DATE 5 th November 2007	DATE	DATE

Signature of Legal Representative of
Harry Saul Winchell (Deceased)

Bryna Winchell Ross (co-executrix) for
Harry Saul Winchell

Date:

Signature of Legal Representative of
Harry Saul Winchell (Deceased)

Bari Winchell Ross (co-executrix) for
Harry Saul Winchell

Date

COMBINED DECLARATION FOR PATENT APPLICATION AND POWER OF ATTORNEY (Includes Reference to PCT International Applications) (SUPPLEMENTAL)		Attorney Docket No. J3509(C)	
<p>As a below named inventor, I hereby declare that:</p> <p>My residence, post office address and citizenship are as stated below next to my name.</p> <p>I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:</p> <p style="text-align: center;">ANTIMICROBIAL COMPOSITIONS</p> <p>the specification of which (check only one item below):</p> <p><input type="checkbox"/> is attached hereto.</p> <p><input checked="" type="checkbox"/> was filed as United States application Serial No. 09/764,734 on January 17, 2001 and was amended on <u>January 17, 2001, October 31, 2001, January 8, 2003, January 22, 2004, April 19, 2006, November 13, 2006, October 31, 2007, and July 28, 2008</u> (if applicable)</p> <p><input type="checkbox"/> was filed as PCT international application _____ on _____ and was amended under PCT Article 19 on _____ (if applicable)</p> <p>I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.</p> <p>I acknowledge the duty to disclose information which is material to the patentability of this application in accordance with Title 37, Code of Federal Regulations, §1.56.</p> <p>I hereby claim foreign priority benefits under Title 35, United States Code, §119 of any foreign application(s) for patent or inventor's certificate or of any PCT international application(s) designating at least one country other than the United States of America listed below and have also identified below any foreign application(s) for patent or inventor's certificate or any PCT international application(s) designating at least one country other than the United States of America filed by me on the same subject matter having a filing date before that of the application(s) of which priority is claimed:</p>			
PRIOR FOREIGN/PCT APPLICATION(S) AND ANY PRIORITY CLAIMS UNDER 35 U.S.C. 119:			
COUNTRY (if PCT, indicate "PCT")	APPLICATION NUMBER	DATE OF FILING (day, month, year)	PRIORITY CLAIMED UNDER 35 U.S.C. 119
United Kingdom	0001133.8	18 th January 2000	YES
United Kingdom	0001132.0	18 th January 2000	YES
I hereby claim the benefit under Title 35, United States Code §119(e) of any of any United States provisional application(s) listed below:			
PRIOR U.S. PROVISIONAL APPLICATION(S) FOR BENEFIT UNDER 35 U.S.C. 119(e)			
APPLICATION NUMBER	DATE OF FILING (day, month, year)		
I hereby claim the benefit under Title 35, United States Code §120 of any United States application(s) or PCT international application(s) designating the United States of America that is/are listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in that/those prior application(s) in the manner provided by the first paragraph of Title 35, United States Code §112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations §1.56 which occurred between the filing date of the prior application(s) and the national or PCT international filing date of this application.			
PRIOR U.S. APPLICATIONS OR PCT INTERNATIONAL APPLICATIONS DESIGNATING THE U.S. FOR BENEFIT UNDER 35 U.S.C. 120.			
U.S. APPLICATIONS		STATUS (CHECK ONE)	
U.S. APPLICATION NUMBER	U.S. FILING DATE	PATENTED	PENDING
		ABANDONED	
PCT APPLICATIONS DESIGNATING THE U.S.			
PCT APPLICATION NO.	PCT FILING DATE	U.S. SERIAL NUMBERS ASSIGNED (if any)	

POWER OF ATTORNEY: As a named inventor, I hereby appoint the following attorney(s) and/or agents(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith:

CUSTOMER NUMBER: 000201

Direct all correspondence to : CUSTOMER NUMBER 000201

201

FULL NAME OF INVENTOR	FAMILY NAME JOHNSON	FIRST GIVEN NAME Paula	SECOND GIVEN NAME Ann
RESIDENCE AND CITIZENSHIP	CITY Wirral	STATE OR FOREIGN COUNTRY United Kingdom	COUNTRY OF CITIZENSHIP United Kingdom
POST OFFICE ADDRESS	PCST OFFICE ADDRESS 15 Homby Avenue, Bromborough	CITY Wirral	STATE & ZIP CODE/COUNTRY United Kingdom CH62 ATQ

202

FULL NAME OF INVENTOR	FAMILY NAME LANDA	FIRST GIVEN NAME Andrew	SECOND GIVEN NAME Sjaak
RESIDENCE & CITIZENSHIP	CITY Appleton	STATE OR FOREIGN COUNTRY Wisconsin	COUNTRY OF CITIZENSHIP Netherlands/USA
POST OFFICE ADDRESS	POST OFFICE ADDRESS 3300 Solitude Lane	CITY Appleton	STATE & ZIP CODE/COUNTRY Wisconsin 54915

203

FULL NAME OF INVENTOR	FAMILY NAME MAKIN	FIRST GIVEN NAME Stephen	SECOND GIVEN NAME Anthony
RESIDENCE & CITIZENSHIP	CITY Lightwater, Surrey	STATE OR FOREIGN COUNTRY United Kingdom	COUNTRY OF CITIZENSHIP United Kingdom
POST OFFICE ADDRESS	POST OFFICE ADDRESS 37 Mount Pleasant Close	CITY Lightwater, Surrey GU18 5TR	STATE & ZIP CODE/COUNTRY United Kingdom

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

SIGNATURE OF INVENTOR	201	SIGNATURE OF INVENTOR	202	SIGNATURE OF INVENTOR	203
DATE		DATE		DATE	

POWER OF ATTORNEY: As a named inventor, I hereby appoint the following attorney(s) and/or agents(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith:

CUSTOMER NUMBER: 000201

Direct all correspondence to : CUSTOMER NUMBER 000201

204

FULL NAME OF INVENTOR	FAMILY NAME MCMILLAN	FIRST GIVEN NAME Ian	SECOND GIVEN NAME Robert
RESIDENCE AND CITIZENSHIP	CITY Wirral, Merseyside	STATE OR FOREIGN COUNTRY United Kingdom	COUNTRY OF CITIZENSHIP United Kingdom
POST OFFICE ADDRESS	POST OFFICE ADDRESS c/o Unilever Research Port Sunlight, Quarry Road East, Bebington	CITY Wirral, Merseyside, CH63 3JW	STATE & ZIP CODE/COUNTRY United Kingdom

205

FULL NAME OF INVENTOR	FAMILY NAME WINCHELL	FIRST GIVEN NAME Harry	SECOND GIVEN NAME SAUL
RESIDENCE & CITIZENSHIP	CITY Walnut Creek	STATE OR FOREIGN COUNTRY California	COUNTRY OF CITIZENSHIP United States
POST OFFICE ADDRESS	POST OFFICE ADDRESS 1935 Lakeshire Drive	CITY Walnut Creek	STATE & ZIP CODE/COUNTRY California 94595

FULL NAME OF Legal Representative (co-executrix) of Harry Saul Winchell (Deceased)	FAMILY NAME WINCHELL ROSS	FIRST GIVEN NAME Bryna	SECOND GIVEN NAME
RESIDENCE & CITIZENSHIP	CITY Lafayette	STATE OR FOREIGN COUNTRY California	COUNTRY OF CITIZENSHIP United States
POST OFFICE ADDRESS	POST OFFICE ADDRESS 1 Via Oneg	CITY Lafayette	STATE & ZIP CODE/COUNTRY California 94549

FULL NAME OF Legal Representative (co-executrix) of Harry Saul Winchell (Deceased)	FAMILY NAME WINCHELL	FIRST GIVEN NAME Bari	SECOND GIVEN NAME
RESIDENCE & CITIZENSHIP	CITY Walnut Creek	STATE OR FOREIGN COUNTRY California	COUNTRY OF CITIZENSHIP United States
POST OFFICE ADDRESS	POST OFFICE ADDRESS 1935 Lakeshire Drive	CITY Walnut Creek	STATE & ZIP CODE/COUNTRY California 94595

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

SIGNATURE OF INVENTOR 204	SIGNATURE OF INVENTOR 205 SEE BELOW	SIGNATURE OF INVENTOR 206
DATE	DATE	DATE

Signature of Legal Representative of
Harry Saul Winchell (Deceased)

Bryna Winchell Ross
Bryna Winchell Ross (co-executrix) for
Harry Saul Winchell

Date: 10/16/09

Signature of Legal Representative of
Harry Saul Winchell (Deceased)

Bari Winchell Ross
Bari Winchell Ross (co-executrix) for
Harry Saul Winchell

Date: 10/16/09

APPENDIX B

Klumas, Karen

From: Pye, Susan
Sent: Thursday, September 03, 2009 5:32 AM
To: Whaley, Christopher
Subject: RE: Paula Johnson

Hi Chris,

Paula has moved to Manchester, I'm not sure of her address, I do have her mobile number.

I will give her a call and let her know you wish to get in touch with her.

Kind Regards

Sue

From: Whaley, Christopher
Sent: Tuesday, September 01, 2009 2:53 PM
To: Pye, Susan
Subject: Paula Johnson

Hi Sue,

I don't think we've met, but I was given your name by Sally Grimshaw as someone who may be able to help me with a correspondence address for our former employee Paula Johnson. In relation to a patent that Paula was named on when she worked for us, I need to send her some papers for her to sign. Is this something you can help me with?

Best regards,

Chris

Dr Chris Whaley EPA RPA
Patent Attorney
Unilever PLC
Unilever Patent Group
Quarry Road East
Bebington
Wirral
CH63 3JW

tel: 44 (0)151 641 3833
fax: 44 (0)151 641 1817

Unilever PLC
Registered in England & Wales, Company No 41424
Registered Office: Port Sunlight, Wirral, Merseyside, CH62 4ZD

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5/20/2010

APPENDIX C



Unilever Patent Group
800 Sylvan Avenue
Building AG West, S. Wing
Englewood Cliffs, New Jersey 07632
Tel. #201-894-2332
Fax. #201-894-2400

September 29, 2009

Paula Ann Johnson
15 Hornby Avenue
Bromborough
Wirral, CH62 ATQ, United Kingdom

Dear Paula:

You are a named inventor on the following issued patents/pending applications:

U.S. Serial No. 09/764,734
U.S. Patent No. 6,503,490

As a result of decision in an arbitration proceeding involving same, Unilever will be filing petitions/requests to include Harry Saul Winchell as a co-inventor. To effect this correction in the US, Unilever needs to submit paperwork that includes either re-executed declarations on which Dr. Winchell is named as a co-inventor (this is for the pending applications) or a statement from the inventors named on the issued patents indicating that they do not object to the addition of Dr. Winchell as co-inventor.

The relevant statements/declarations are attached, together with copies of the applications, amendments and patents that these statements/declarations refer to. I would ask that you review, sign where indicated and return same to me in the attached pre-paid mailer. **If the address on the declaration is incorrect, please indicate the correct address and initial at each place that has been corrected.** Should you have any questions or comments regarding this request, please do not hesitate to contact me at karen.klumas@unilever.com, or call me at 201-894-2332.

Thank you in advance for your co-operation.

Sincerely,

A handwritten signature in black ink, appearing to read 'Karen E. Klumas', written over a horizontal line.

Karen E. Klumas
Assistant General Counsel

KEK/sa
Encl.

COMBINED DECLARATION FOR PATENT APPLICATION AND POWER OF ATTORNEY (Includes Reference to PCT International Applications) <div style="text-align: center;">(SUPPLEMENTAL)</div>		Attorney Docket No. J3509(C)	
<p>As a below named inventor, I hereby declare that:</p> <p>My residence, post office address and citizenship are as stated below next to my name.</p> <p>I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:</p> <p style="text-align: center;">ANTIMICROBIAL COMPOSITIONS</p> <p>the specification of which (check only one item below):</p> <p><input type="checkbox"/> is attached hereto.</p> <p><input checked="" type="checkbox"/> was filed as United States application Serial No. 09/764,734 on January 17, 2001 and was amended on <u>January 17, 2001, October 31, 2001, January 8, 2003, January 22, 2004, April 19, 2006, November 13, 2006, October 31, 2007, and July 28, 2008</u> (if applicable)</p> <p><input type="checkbox"/> was filed as PCT international application _____ on _____ and was amended under PCT Article 19 on _____ (if applicable)</p> <p>I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.</p> <p>I acknowledge the duty to disclose information which is material to the patentability of this application in accordance with Title 37, Code of Federal Regulations, §1.56.</p> <p>I hereby claim foreign priority benefits under Title 35, United States Code, §119 of any foreign application(s) for patent or inventor's certificate or of any PCT international application(s) designating at least one country other than the United States of America listed below and have also identified below any foreign application(s) for patent or inventor's certificate or any PCT international application(s) designating at least one country other than the United States of America filed by me on the same subject matter having a filing date before that of the application(s) of which priority is claimed:</p>			
PRIOR FOREIGN/PCT APPLICATION(S) AND ANY PRIORITY CLAIMS UNDER 35 U.S.C. 119:			
COUNTRY (if PCT, indicate "PCT")	APPLICATION NUMBER	DATE OF FILING (day, month, year)	PRIORITY CLAIMED UNDER 35 U.S.C. 119
United Kingdom	0001133.8	18 th January 2000	YES
United Kingdom	0001132.0	18 th January 2000	YES
<p>I hereby claim the benefit under Title 35, United States Code §119(e) of any of any United States provisional application(s) listed below:</p>			
PRIOR U.S. PROVISIONAL APPLICATION(S) FOR BENEFIT UNDER 35 U.S.C. 119(e)			
APPLICATION NUMBER	DATE OF FILING (day, month, year)		
<p>I hereby claim the benefit under Title 35, United States Code §120 of any United States application(s) or PCT international application(s) designating the United States of America that is/are listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in that/those prior application(s) in the manner provided by the first paragraph of Title 35, United States Code §112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations §1.56 which occurred between the filing date of the prior application(s) and the national or PCT international filing date of this application.</p>			
PRIOR U.S. APPLICATIONS OR PCT INTERNATIONAL APPLICATIONS DESIGNATING THE U.S. FOR BENEFIT UNDER 35 U.S.C. 120.			
U.S. APPLICATIONS		STATUS (CHECK ONE)	
U.S. APPLICATION NUMBER	U.S. FILING DATE	PATENTED	PENDING
		ABANDONED	
PCT APPLICATIONS DESIGNATING THE U.S.			
PCT APPLICATION NO.	PCT FILING DATE	U.S SERIAL NUMBERS ASSIGNED (if any)	

POWER OF ATTORNEY: As a named inventor, I hereby appoint the following attorney(s) and/or agents(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith:

CUSTOMER NUMBER: 000201

Direct all correspondence to : CUSTOMER NUMBER 000201

201

FULL NAME OF INVENTOR	FAMILY NAME JOHNSON	FIRST GIVEN NAME Paula	SECOND GIVEN NAME Ann
RESIDENCE AND CITIZENSHIP	CITY Wirral	STATE OR FOREIGN COUNTRY United Kingdom	COUNTRY OF CITIZENSHIP United Kingdom
POST OFFICE ADDRESS	POST OFFICE ADDRESS 15 Homby Avenue, Bromborough	CITY Wirral	STATE & ZIP CODE/COUNTRY United Kingdom CH62 ATQ

202

FULL NAME OF INVENTOR	FAMILY NAME LANDA	FIRST GIVEN NAME Andrew	SECOND GIVEN NAME Sjaak
RESIDENCE & CITIZENSHIP	CITY Appleton	STATE OR FOREIGN COUNTRY Wisconsin	COUNTRY OF CITIZENSHIP Netherlands/USA
POST OFFICE ADDRESS	POST OFFICE ADDRESS 3300 Solitude Lane	CITY Appleton	STATE & ZIP CODE/COUNTRY Wisconsin 54915

203

FULL NAME OF INVENTOR	FAMILY NAME MAKIN	FIRST GIVEN NAME Stephen	SECOND GIVEN NAME Anthony
RESIDENCE & CITIZENSHIP	CITY Lightwater, Surrey	STATE OR FOREIGN COUNTRY United Kingdom	COUNTRY OF CITIZENSHIP United Kingdom
POST OFFICE ADDRESS	POST OFFICE ADDRESS 37 Mount Pleasant Close	CITY Lightwater, Surrey GU18 5TR	STATE & ZIP CODE/COUNTRY United Kingdom

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

SIGNATURE OF INVENTOR	201	SIGNATURE OF INVENTOR	202	SIGNATURE OF INVENTOR	203
DATE		DATE		DATE	

COMBINED DECLARATION FOR PATENT APPLICATION AND POWER OF ATTORNEY (Includes Reference to PCT International Applications)	Attorney Docket No. J3509(C)
--	---------------------------------

POWER OF ATTORNEY: As a named inventor, I hereby appoint the following attorney(s) and/or agents(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith:

CUSTOMER NUMBER: 000201

Direct all correspondence to : CUSTOMER NUMBER 000201

204

FULL NAME OF INVENTOR	FAMILY NAME MCMILLAN	FIRST GIVEN NAME Ian	SECOND GIVEN NAME Robert
RESIDENCE AND CITIZENSHIP	CITY Wirral, Merseyside	STATE OR FOREIGN COUNTRY United Kingdom	COUNTRY OF CITIZENSHIP United Kingdom
POST OFFICE ADDRESS	POST OFFICE ADDRESS c/o Unilever Research Port Sunlight, Quarry Road East, Bebington	CITY Wirral, Merseyside, CH63 3JW	STATE & ZIP CODE/COUNTRY United Kingdom

205

FULL NAME OF INVENTOR	FAMILY NAME WINCHELL	FIRST GIVEN NAME Harry	SECOND GIVEN NAME SAUL
RESIDENCE & CITIZENSHIP	CITY Walnut Creek	STATE OR FOREIGN COUNTRY California	COUNTRY OF CITIZENSHIP United States
POST OFFICE ADDRESS	POST OFFICE ADDRESS 1935 Lakeshire Drive	CITY Walnut Creek	STATE & ZIP CODE/COUNTRY California 94595

FULL NAME OF Legal Representative (co-executrix) of Harry Saul Winchell (Deceased)	FAMILY NAME WINCHELL ROSS	FIRST GIVEN NAME Bryna	SECOND GIVEN NAME
RESIDENCE & CITIZENSHIP	CITY Lafayette	STATE OR FOREIGN COUNTRY California	COUNTRY OF CITIZENSHIP United States
POST OFFICE ADDRESS	POST OFFICE ADDRESS 1 Via Oreg	CITY Lafayette	STATE & ZIP CODE/COUNTRY California 94549

FULL NAME OF Legal Representative (co-executrix) of Harry Saul Winchell (Deceased)	FAMILY NAME WINCHELL	FIRST GIVEN NAME Bari	SECOND GIVEN NAME
RESIDENCE & CITIZENSHIP	CITY Walnut Creek	STATE OR FOREIGN COUNTRY California	COUNTRY OF CITIZENSHIP United States
POST OFFICE ADDRESS	POST OFFICE ADDRESS 1935 Lakeshire Drive	CITY Walnut Creek	STATE & ZIP CODE/COUNTRY California 94595

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

SIGNATURE OF INVENTOR	204	SIGNATURE OF INVENTOR	205	SIGNATURE OF INVENTOR	206
		SEE BELOW			
DATE		DATE		DATE	

Signature of Legal Representative of
Harry Saul Winchell (Deceased)

Bryna Winchell Ross (co-executrix) of
Harry Saul Winchell

Date:

Signature of Legal Representative of
Harry Saul Winchell (Deceased)

Bari Winchell Ross (co-executrix) for
Harry Saul Winchell

Date

Registered No.

RE945683794US

Date Stamp

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		Karen E. Klumas		
		Unilever Patent Group		
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	TO	Englewood Cliffs, NJ 07632		
		Great Britain and Northern Ireland		
		15 Hornby Avenue		
		Bromborough		
Wirral, CH62 4TQ, United Kingdom				

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Detailed Results:

- Arrived Abroad, October 07, 2009, 6:59 am, GREAT BRITAIN
- International Dispatch, October 02, 2009, 7:15 am, ISC NEW YORK NY(USPS)
- Arrival
- Acceptance, September 30, 2009, 4:04 pm, TENAFLY, NJ 07670

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No FEAR Act EEO Data

FOIA



U.S. Department of Justice
Attorney General



Department of Homeland Security
Secretary

APPENDIX D




Klumas, Karen

From: Sloane, Jayne
Sent: Monday, December 07, 2009 6:45 AM
To: Klumas, Karen
Subject: FW: Re: Paula Johnson's details
Attachments: RE: Re: Paula Johnson's details

Karen,

fyi. Jane

Jane Sloane
Office Manager & Patent Assistant to
Sarah James/Chris Whaley/Aly Birch
Unilever PLC
Unilever Patent Group
Quarry Road East
Bebington, Wirral, CH63 3JW

 (Phone) +44 151 641 3835  (Fax) +44 151 641 1817  jayne.sloane@unilever.com



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Unilever PLC
Registered in England & Wales. Company No. 41424
Registered Office: Port Sunlight, Wirral, Merseyside CH62 4ZD

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Klumas, Karen

From: Collins, Rachael
Sent: Monday, December 07, 2009 4:55 AM
To: Sloane, Jayne
Subject: RE: Re: Paula Johnson's details

Jayne

She asked for your number, so I gave her your details.

Rachael




From: Sloane, Jayne
Sent: Wednesday, December 02, 2009 2:04 PM
To: Collins, Rachael
Cc: Pearce, Tim
Subject: Re: Paula Johnson's details

Hi Rachel,

I have been asked to chase up Paula's details again by the US. Have you managed to make contact with her for me?

Jane

Jane Sloane
Office Manager & Patent Assistant to
Sarah James/Chris Whaley/Aly Birch
Unilever PLC
Unilever Patent Group
Quarry Road East
Bebington, Wirral, CH63 3JW

 (Phone) +44 151 641 3835  (Fax) +44 151 641 1817  jayne.sloane@unilever.com



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Registered Office: Port Sunlight, Wirral, Merseyside CH62 4ZD

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Klumas, Karen

From: Collins, Rachael
Sent: Tuesday, November 17, 2009 9:17 AM
To: Sloane, Jayne
Subject: RE: re: Paula Johnson

Jayne,




I sent her a text message this morning, but unfortunately she hasn't replied. I will be out of the office until Monday 23rd after today.

From: Sloane, Jayne
Sent: Tuesday, November 17, 2009 1:58 PM
To: Collins, Rachael
Subject: RE: re: Paula Johnson

Hi Rachel,

That would be great, there is a time constraint on this as I have to get the paperwork from the USA and get it back to them. Janex

Jane Sloane
Office Manager & Patent Assistant to
Sarah James/Chris Whaley/Aly Birch
Unilever PLC
Unilever Patent Group
Quarry Road East
Bebington, Wirral, CH63 3JW

 (Phone) +44 151 641 3835  (Fax) +44 151 641 1817  jayne.sloane@unilever.com



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Registered in England & Wales. Company No. 41424
Registered Office: Port Sunlight, Wirral, Merseyside CH62 4ZD

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From: Collins, Rachael
Sent: Tuesday, November 17, 2009 9:14 AM
To: Sloane, Jayne
Subject: RE: re: Paula Johnson

Paula has moved now ! She is married I will have to contact her first and ask her if its ok to give her details to you.

Regards,

5/20/2010

Rachael

From: Sloane, Jayne
Sent: Friday, November 13, 2009 1:01 PM
To: Collins, Rachael
Subject: re: Paula Johnson




Hi Rachel,

I am hoping that you will be able to help me as I need to get in touch with Paula, I have patent papers that I need her to sign and my last address and telephone number

seem to be out of date. Do you have an address or phone number that I could contact her on. My address was 15 Hornby in Bromborough.

Thanks Jane

Jane Sloane
Office Manager & Patent Assistant to
Sarah James/Chris Whaley/Aly Birch
Unilever PLC
Unilever Patent Group
Quarry Road East
Bebington, Wirral, CH63 3JW

 (Phone) +44 151 641 3835  (Fax) +44 151 641 1817  jayne.sloane@unilever.com



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Registered Office: Port Sunlight, Wirral, Merseyside CH62 4ZD

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APPENDIX E

Klumas, Karen

From: Klumas, Karen
Sent: Monday, December 07, 2009 6:08 PM
To: Collins, Rachael
Cc: Sloane, Jayne; Aldahondo, Sally
Subject: Paula Johnson
Attachments: J3565-Statment-Johnson.doc; J3509-DECLARATION.doc; US6503490.pdf; J3509-Amendments.pdf; J3509-Specification.pdf

Hi Rachael,

I'm the US patent attorney who is handling a couple of patent cases that Paula is an inventor on. Let me say that I fully understand your being careful about passing out Paula's contact information. So that Paula understands why we are attempting to reach her, please allow me to explain.

As mentioned, Paula is an inventor on two patent cases (one issued, one pending) that, along with a few other cases, were involved in an arbitration proceeding with another company. As a result of the arbitration (now over) it was determined that Dr. Harry Saul Winchell (from the other company) should be named as an additional inventor on all of those cases. I have the task of preparing the US paperwork to add Dr. Winchell as an inventor. To effect this change in the US, for the issued patent I need to submit to the Patent Office paperwork that includes a statement from each of the inventors of record saying that they have no disagreement to adding Dr. Winchell as a co-inventor. For the pending application, the paperwork includes a supplemental declaration from each of the named inventors that includes Dr. Winchell as a co-inventor.

I believe that there were 10 plus inventors in the pool of the cases that were the subject of the arbitration, most of whom have left Unilever. We have been able to locate everyone but Paula. If you could forward this e-mail to her, I would be much obliged.

I'm attaching the documents that are being requested (the two word documents), together with a copy of pending patent application, amendments to date, and the issued patent, so that Paula may review and refresh her recollection. The documents were prepared using the information of record. Assuming that Paula is willing to sign the declaration and statement, if she can provide us with her married name and either her address or post office box number, my secretary Sally Aldahondo will update the documents and e-mail them back for her to print and sign. I can arrange for Jayne to provide a prepaid mailer for the return of the signed documents.

If Paula has any questions or wishes to contact me, my information is given below; Paula should feel free to call me "collect".

I thank you both for your assistance in this matter.

Sincerely,
Karen Klumas
Associate Patent Counsel
Unilever Patent Group
800 Sylvan Avenue -AG West, S. Wing
Englewood Cliffs, NJ 07632 USA

Telephone: 201-894-2332
Fax: 201-894-2400

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5/20/2010

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COMBINED DECLARATION FOR PATENT APPLICATION AND POWER OF ATTORNEY (Includes Reference to PCT International Applications)	Attorney Docket No. J3509(C)
--	------------------------------

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

ANTIMICROBIAL COMPOSITIONS

the specification of which (check only one item below):

- ☐ is attached hereto.
- ☒ was filed as United States application Serial No. 09/764,734 on January 17, 2001 and was amended on January 17, 2001, October 31, 2001, January 8, 2003, January 22, 2004, April 19, 2006, November 13, 2006, October 31, 2007, and July 28, 2008 (if applicable)
- ☐ was filed as PCT international application _____ on _____ and was amended under PCT Article 19 on _____ (if applicable)

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the patentability of this application in accordance with Title 37, Code of Federal Regulations, §1.56.

I hereby claim foreign priority benefits under Title 35, United States Code, §119 of any foreign application(s) for patent or inventor's certificate or of any PCT international application(s) designating at least one country other than the United States of America listed below and have also identified below any foreign application(s) for patent or inventor's certificate or any PCT international application(s) designating at least one country other than the United States of America filed by me on the same subject matter having a filing date before that of the application(s) of which priority is claimed:

PRIOR FOREIGN/PCT APPLICATION(S) AND ANY PRIORITY CLAIMS UNDER 35 U.S.C. 119:

COUNTRY (if PCT, indicate "PCT")	APPLICATION NUMBER	DATE OF FILING (day, month, year)	PRIORITY CLAIMED UNDER 35 U.S.C. 119
United Kingdom	0001133.8	18 th January 2000	YES
United Kingdom	0001132.0	18 th January 2000	YES

I hereby claim the benefit under Title 35, United States Code §119(e) of any of any United States provisional application(s) listed below:

PRIOR U.S. PROVISIONAL APPLICATION(S) FOR BENEFIT UNDER 35 U.S.C. 119(e)	
APPLICATION NUMBER	DATE OF FILING (day, month, year)

I hereby claim the benefit under Title 35, United States Code §120 of any United States application(s) or PCT international application(s) designating the United States of America that is/are listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in that/those prior application(s) in the manner provided by the first paragraph of Title 35, United States Code §112. I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations §1.56 which occurred between the filing date of the prior application(s) and the national or PCT international filing date of this application.

PRIOR U.S. APPLICATIONS OR PCT INTERNATIONAL APPLICATIONS DESIGNATING THE U.S. FOR BENEFIT UNDER 35 U.S.C. 120.

U.S. APPLICATIONS		STATUS (CHECK ONE)			
U.S. APPLICATION NUMBER	U.S. FILING DATE	PATENTED	PENDING	ABANDONED	

PCT APPLICATIONS DESIGNATING THE U.S.				
PCT APPLICATION NO.	PCT FILING DATE	U.S. SERIAL NUMBERS ASSIGNED (if any)		

COMBINED DECLARATION FOR PATENT APPLICATION AND POWER OF ATTORNEY (Includes Reference to PCT International Applications)	Attorney Docket No. J3509(C)
--	---------------------------------

POWER OF ATTORNEY: As a named inventor, I hereby appoint the following attorney(s) and/or agents(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith:

CUSTOMER NUMBER: 000201

Direct all correspondence to : CUSTOMER NUMBER 000201

201

FULL NAME OF INVENTOR	FAMILY NAME JO-INSON	FIRST GIVEN NAME Paula	SECOND GIVEN NAME Ann
RESIDENCE AND CITIZENSHIP	CITY Wirral	STATE OR FOREIGN COUNTRY United Kingdom	COUNTRY OF CITIZENSHIP United Kingdom
POST OFFICE ADDRESS	POST OFFICE ADDRESS 15 Hornby Avenue, Bromborough	CITY Wirral	STATE & ZIP CODE/COUNTRY United Kingdom CH62 ATQ

202

FULL NAME OF INVENTOR	FAMILY NAME LANDA	FIRST GIVEN NAME Andrew	SECOND GIVEN NAME Sjaak
RESIDENCE & CITIZENSHIP	CITY Appleton	STATE OR FOREIGN COUNTRY Wisconsin	COUNTRY OF CITIZENSHIP Netherlands/USA
POST OFFICE ADDRESS	POST OFFICE ADDRESS 3300 Solitude Lane	CITY Appleton	STATE & ZIP CODE/COUNTRY Wisconsin 54915

203

FULL NAME OF INVENTOR	FAMILY NAME MAKIN	FIRST GIVEN NAME Stephen	SECOND GIVEN NAME Anthony
RESIDENCE & CITIZENSHIP	CITY Lightwater, Surrey	STATE OR FOREIGN COUNTRY United Kingdom	COUNTRY OF CITIZENSHIP United Kingdom
POST OFFICE ADDRESS	POST OFFICE ADDRESS 37 Mount Pleasant Close	CITY Lightwater, Surrey GU18 5TR	STATE & ZIP CODE/COUNTRY United Kingdom

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

SIGNATURE OF INVENTOR	201	SIGNATURE OF INVENTOR	202	SIGNATURE OF INVENTOR	203
DATE		DATE		DATE	

COMBINED DECLARATION FOR PATENT APPLICATION AND POWER OF ATTORNEY (Includes Reference to PCT International Applications)	Attorney Docket No. J3509(C)
--	---------------------------------

POWER OF ATTORNEY: As a named inventor, I hereby appoint the following attorney(s) and/or agents(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith:

CUSTOMER NUMBER: 000201

Direct all correspondence to : CUSTOMER NUMBER 000201

204

FULL NAME OF INVENTOR	FAMILY NAME MCMILLAN	FIRST GIVEN NAME Ian	SECOND GIVEN NAME Robert
RESIDENCE AND CITIZENSHIP	CITY Wirral, Merseyside	STATE OR FOREIGN COUNTRY United Kingdom	COUNTRY OF CITIZENSHIP United Kingdom
POST OFFICE ADDRESS	POST OFFICE ADDRESS c/o Unilever Research Port Sunlight, Quarry Road East, Bebington	CITY Wirral, Merseyside, CH63 3JW	STATE & ZIP CODE/COUNTRY United Kingdom

205

FULL NAME OF INVENTOR	FAMILY NAME WINCHELL	FIRST GIVEN NAME Harry	SECOND GIVEN NAME SAUL
RESIDENCE & CITIZENSHIP	CITY Walnut Creek	STATE OR FOREIGN COUNTRY California	COUNTRY OF CITIZENSHIP United States
POST OFFICE ADDRESS	POST OFFICE ADDRESS 1935 Lakeshire Drive	CITY Walnut Creek	STATE & ZIP CODE/COUNTRY California 94595

FULL NAME OF Legal Representative (co-executrix) of Harry Saul Winchell (Deceased)	FAMILY NAME WINCHELL ROSS	FIRST GIVEN NAME Bryna	SECOND GIVEN NAME
RESIDENCE & CITIZENSHIP	CITY Lafayette	STATE OR FOREIGN COUNTRY California	COUNTRY OF CITIZENSHIP United States
POST OFFICE ADDRESS	POST OFFICE ADDRESS 1 Via Oneg	CITY Lafayette	STATE & ZIP CODE/COUNTRY California 94549

FULL NAME OF Legal Representative (co-executrix) of Harry Saul Winchell (Deceased)	FAMILY NAME WINCHELL	FIRST GIVEN NAME Bari	SECOND GIVEN NAME
RESIDENCE & CITIZENSHIP	CITY Walnut Creek	STATE OR FOREIGN COUNTRY California	COUNTRY OF CITIZENSHIP United States
POST OFFICE ADDRESS	POST OFFICE ADDRESS 1935 Lakeshire Drive	CITY Walnut Creek	STATE & ZIP CODE/COUNTRY California 94595

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

SIGNATURE OF INVENTOR	204	SIGNATURE OF INVENTOR	205	SIGNATURE OF INVENTOR	206
		SEE BELOW			
DATE		DATE		DATE	

Signature of Legal Representative of
Harry Saul Winchell (Deceased)

Bryna Winchell Ross (co-executrix) for
Harry Saul Winchell

Date:

Signature of Legal Representative of
Harry Saul Winchell (Deceased)

Bari Winchell Ross (co-executrix) for
Harry Saul Winchell

Date

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to:

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Commissioner for Patents,
P.O. Box 1450
Alexandria, VA 22313-1450"

on

Karen E. Klumas
Reg. No. 31,070
Attorney for Applicant(s)

Date of
Signature

PATENTS

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Patent: U.S. 6,503,490
Date of Issue: January 7, 2003
Name of Patentee: Johnson et al.
Title of Invention: Deodorant Products

Mail Stop: PETITION
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450
Cliffs, New Jersey 07632

STATEMENT UNDER 37 C.F.R. § 1.324(b)(2) ACCOMPANYING PETITION UNDER 37 C.F.R. § 1.324 TO CORRECT INVENTORSHIP IN AN ISSUED PATENT

I, Paula Ann JOHNSON a named inventor in the above identified patent, have been informed of the petition being filed to correct inventorship in this patent, and do hereby declare that I have no disagreement in regard to adding Harry Saul Winchell as an inventor of this patent.

Paula Ann JOHNSON

Klumas, Karen

From: Collins, Rachael
Sent: Tuesday, December 08, 2009 3:19 AM
To: Klumas, Karen
Cc: Sloane, Jayne
Subject: RE: Paula Johnson

Karen,

I will forward this email.

Regards,

Rachael

From: Klumas, Karen
Sent: Monday, December 07, 2009 11:08 PM
To: Collins, Rachael
Cc: Sloane, Jayne; Aldahondo, Sally
Subject: Paula Johnson

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5/20/2010

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Karen Klumas
Associate Patent Counsel
Unilever Patent Group
800 Sylvan Avenue -AG West, S. Wing
Englewood Cliffs, NJ 07632 USA

Telephone: 201-894-2332
Fax: 201-894-2400

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5/20/2010

APPENDIX F

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on

Karen E. Klumas
Reg. No. 31,070
Attorney for Applicant(s)

Date of
Signature

PATENTS

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Patent: U.S. 6,503,490
Date of Issue: January 7, 2003
Name of Patentee: Johnson et al.
Title of Invention: Deodorant Products

Mail Stop: PETITION
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Paula Ann JOHNSON